



OMB No. 2010-0032  
Expiration Date 01/31/2010

## **2007 Performance Track Annual Performance Report**

# **Rockwell Collins, Inc. - Decorah Operations A070008**

**Year 1 Annual Performance Report  
Member Since 2001 (3rd Member Term)**

**SECTION A: GENERAL FACILITY INFORMATION****A.1 Name of your facility:**

Rockwell Collins, Inc. - Decorah Operations

**A.2 Name of your parent company:**

Rockwell Collins, Inc.

**A.3 Facility contact person for the Performance Track program:**

**Name:** Mr. Tim Becker  
**Title:** Environmental Coordinator, Decorah facility  
**Phone:** (563)-295-9864  
**Fax:**  
**Email:** tjbecker@rockwellcollins.com

**A.4 Facility location:****Facility Address**

**Street Address:** 2464 River Road

**Address Cont:**

**City:** Decorah

**State:** IA

**Zip Code:** 52101

**If your facility has multiple street addresses, please list any other addresses for its sites or buildings.**

**A.5 Facility's website address (if any):**

<http://rockwellcollins.com>

**A.6 Number of employees (full-time equivalents) who currently work in the facility:**

100-499

**A.7**

**For the purposes of where your facility would be listed in our Member Directory, please list the North American Industrial Classification System (NAICS) Code(s) that is (are) used to classify business at the facility:**

Primary	Secondary	Tertiary	Quaternary	Quinary
334511				

**A.8.a List the activities, products, or services that take place at your facility:**

The Rockwell Collins Decorah Operations facility currently builds, tests, and repairs Rockwell Collins navigation, communication and mechanical equipment.

**A.8.b Provide the size and general description of your site:**

The Rockwell Collins Decorah facility currently employs 270 personnel in a one story 46,000 sq ft building that sits on 8 acres in the Freeport Industrial Park.

**A.9 Have the environmental requirements applicable to your facility changed during this reporting period?**

No

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## **SECTION B: ENVIRONMENTAL MANAGEMENT SYSTEM**

**B.1.a Is your facility certified to ISO 14001?**

Yes

**B.2 Performance Track members must have their EMS assessed by an independent party at least once every three years. Please indicate the details of the most recent independent assessment conducted at your facility. If your most recent independent assessment did not cover all EMS elements and business areas, please use the "Add Assessment" button to indicate the additional partial assessments.**

**Independent EMS Assessment #1**

<b>When was this EMS assessment?</b>	July 2006
<b>What protocol was used?</b>	ISO 14001 Standards Specify:
<b>Who conducted the audit?</b>	Name: Don Watson Title: Lead Auditor Organization: Det Norske Veritas
<b>Which EMS elements were included in the audit?</b>	Policy, Planning, Implementation, Checking, Management
<b>Did the assessment cover the entire facility or part of the facility?</b>	Entire Facility
<b>Which business areas were included in the audit?</b>	

**B.3 EPA recommends that Performance Track members conduct an internal EMS assessment (partial or complete) every year. Did you conduct one in calendar year 2007?**

Yes

**Internal EMS Assessment #1**

**When was this EMS assessment?** April 2007

**Which EMS elements were included in the audit?** Policy, Planning, Implementation, Checking, Management

**Did the assessment cover the entire facility or part of the facility?** Entire Facility

**B.4 EPA recommends that Performance Track members conduct a regulatory compliance audit every year. Did your facility conduct any audits in calendar year 2007 to verify compliance with regulatory statutes?**

Yes

**Regulatory Compliance Audit #1**

**When was this regulatory compliance audit?** June 2007

**Which regulations were included in the audit?** Clean Air Act (CAA)  
Clean Water Act (CWA)  
Emergency Planning & Community Right-To-Know Act (EPCRA)  
National Pollutant Discharge Elimination System (NPDES)  
Resource Conservation and Recovery Act (RCRA)  
Safe Drinking Water Act (SDWA)  
Superfund Amendments and Reauthorization Act/Comprehensive Environmental Response, Compensation, and Liability Act (SARA/CERCLA)  
Toxic Substances Control Act (TSCA)

**Regulatory Compliance Audit #2**

**When was this regulatory compliance audit?** Month 2007

**Which regulations were included in the audit?**

**B.5 Note: Facility will be asked to report other audits and inspections in their 3rd reporting year.****B.6.a Has your facility corrected all instances of potential non-compliance and EMS non-conformance identified during your audits and other assessments?**

Yes

**B.6.b Performance Track members should have a Senior Management Review of their EMS every year. Did this review take place in calendar year 2007?**

Yes

**Who was the senior manager present at the review?****Name:** Mr. Gordon Davis Jr.**Title:** Rockwell Collins Decorah Operations Plant Manager**B.6.c Performance Track members should conduct a systematic identification and/or review of their environmental aspects at least once every two years. When was your last one conducted?**

February 2008

**SECTION C: ENVIRONMENTAL PERFORMANCE RESULTS****Goal 1: Rockwell Collins, Inc. - Decorah Operations's first goal is to reduce the facility's total water use.****C.1.a Briefly describe your activities and achievements related to this goal or, if relevant, any circumstances that delayed progress this year.**

Installation of the new Reverse Osmosis System along with the new In-Line Water Wash System.

**C.1.b Describe the data collection process and data source(s) that you used to measure progress towards this goal.**

Based upon the total number of Top Level Units produced and the amount of water used, recorded on a quarterly basis charts are updated as the data is available.

**C.1.c Please report your facility's actual performance.**

Performance Data						
Calendar Year	Baseline	Year 1	Year 2	Year 3	Perform. Goal	Units
	2006	2007	2008	2009		
Actual Quantity (per year)	1,410,182	1,243,002			n/a	Gallons

**C.1.d Normalized progress toward goal.**

Normalized Total	Baseline	Year 1	Year 2	Year 3	Perform. Goal	Units
Normalizing Factor	1.0	0.88				
Normalized Quantity	1,410,182	1,412,502.27			1,325,572	Gallons
Basis for your Normalizing Factor:	Number of Top Level Units produced.					

**Goal 2: Rockwell Collins, Inc. - Decorah Operations's second goal is to increase the facility's land and habitat conservation.**

**C.2.a Briefly describe your activities and achievements related to this goal or, if relevant, any circumstances that delayed progress this year.**

Applications and information was sent out to local schools, non-profit organizations, and local newspapers.

The 2007 project was awarded to the Northeast Iowa Resource Conservation and Development Organization which has been awarded \$1,250 toward the "Prairie Farmer Trail Project" which will be used for restoring a native ecological community through the plantings of native prairie plantings using the help of Rockwell Employees volunteer time.

**C.2.b Describe the data collection process and data source(s) that you used to measure progress towards this goal.**

Measure the square footage of the areas restored after the project is complete. This is determined by the project as well as the amount of money donated.

**C.2.c Please report your facility's actual performance.**

Performance Data						
Calendar Year	Baseline	Year 1	Year 2	Year 3	Perform. Goal	Units
	2006	2007	2008	2009		
Actual Quantity (per year)	350	72			500	Square feet

**C.2.d Normalized progress toward goal.**

Normalization is not applicable to this commitment

**Goal 3: Rockwell Collins, Inc. - Decorah Operations's third goal is to reduce the facility's generation of hazardous waste.**

**C.3.a Briefly describe your activities and achievements related to this goal or, if relevant, any circumstances that delayed progress this year.**

Reduction in the use of the handwash stations has reduced the amount of hazardous waste significantly, however have only had limited implementation to the water based conformal coat throughout 2007 which is the remainder of the hazardous waste reduction project.

**C.3.b Describe the data collection process and data source(s) that you used to measure progress towards this goal.**

Tracking of the hazardous waste generated from production. Hazardous waste is weighed on a calibrated scale and recorded into our charts before leaving the facility.

**C.3.c Please report your facility's actual performance.**

Performance Data					
Waste Management Method	Baseline	Year 1	Year 2	Year 3	Units
	2006	2007	2008	2009	

Incineration	8,586	8,914	Pounds
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**C.3.d Summarized progress toward goal.**

Actual Total	Baseline	Year 1	Year 2	Year 3	Perform. Goal	Units
Total Hazardous Waste	4.29	4.46			n/a	Tons

Normalized Total	Baseline	Year 1	Year 2	Year 3	Perform. Goal	Units
Normalizing Factor	1.0	1.04				
Total Hazardous Waste	4.29	4.29			3.86	Tons
<b>Basis for your Normalizing Factor:</b>	Total number of top level units produced.					

**Goal 4: Rockwell Collins, Inc. - Decorah Operations's fourth goal is to reduce the facility's procurement of hazardous/toxic components, specifically alpha 2110 saponifier.**

**C.4.a Briefly describe your activities and achievements related to this goal or, if relevant, any circumstances that delayed progress this year.**

Elimination of the use of the corrosive Alpha 2110 Saponifier by changing to a less corrosive/more environmentally friendly material for cleaning our assemblies.

**C.4.b Describe the data collection process and data source(s) that you used to measure progress towards this goal.**

Track the amount of Alpha 2110 used in production. The amount of material purchased (converted from gallons to pounds) for Decorah was recorded into our charts.

**C.4.c Please report your facility's actual performance.**

Performance Data						
Calendar Year	Baseline	Year 1	Year 2	Year 3	Perform. Goal	Units
	2006	2007	2008	2009		
Actual Quantity (per year)	6,292	968			3,146	Pounds

**C.4.d Normalized progress toward goal.**

Normalized Total	Baseline	Year 1	Year 2	Year 3	Perform. Goal	Units
Normalizing Factor	1.0	0.15				
Normalized Quantity	6,292	6,453.33			n/a	Pounds

<b>Basis for your Normalizing Factor:</b>	Total number of top level units produced.
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### Progress Towards Other Significant Aspects of your EMS

In the table below, please provide a narrative summary of progress made toward EMS objectives and targets other than those reported as Environmental Performance Goals. You may limit the summary to environmental aspects that are significant and towards which progress has been made during the reporting year.

Do you have additional environmental aspects to report? No

Attachments (if applicable) :

[Chart%20-%20Water%20Usage%20Reduction%20'07-'09%20Decorah%203-27-2007.xls](#)

[Chart%20-Green%20Communities%20Grant%20Program%20Decorah%207-09-2007.xls](#)

[Chart%20-%20Hazardous%20Waste%20Reduction%20'07-'09%20.xls](#)

[Chart%20-%20Material%20Procurement%20Reduction%20'07-'09.xls](#)

## SECTION D: PUBLIC OUTREACH AND PERFORMANCE REPORTING

### D.1.a Please describe your process to identify potential community environmental concerns.

We send copies of our Environmental Annual Report to the local library, the mayor of Decorah, as well as it is on Rockwell Collins web site.

Examples of how Rockwell Collins Decorah Operations communicates its ES&HMS to external parties

OSHA Voluntary Protection Program - VPP certification

EPA Performance Track Program – NEPT recertification

Green Communities Grants Program awarded to two organizations

Rockwell Collins ES&H Annual Report sent to Decorah library

Visitor Brochure updated and sent to local contractors with new policy and information

List of chemicals and where located on Plant Layout sent to Decorah Fire Department

Continued involvement in Adopt-A-Highway program

### D.1.b If you identified community environmental concerns, how did you respond to them?

We have not had any community environmental concerns to date.

### D.1.c Please describe how you informed the community about environmental matters related to your facility.

The facility will communicate externally about its significant environmental aspects and will utilize the following methods.

- Participation in EPA's NEPT program – Reports available on-line.
- The Rockwell Collins corporate ESH Annual Report is available on-line.
- All Rockwell Collins facilities participate in the EPA's Green Power Partnership Program by purchasing

renewable energy credits. Information regarding the company participation has been communicated externally via press release.

**D.2 Please indicate which of the following methods your facility plans to use to make its Performance Track Annual Performance Report available to the public. Please check all that apply.**

Press Releases, Web Site

URL: <http://rockwellcollins.com>

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## **SECTION E: SELF-CERTIFICATION OF CONTINUED PROGRAM PARTICIPATION FOR ANNUAL PERFORMANCE REPORT**

The U.S. Environmental Protection Agency is not yet in a position to accept electronic signatures and therefore requests a faxed, signed copy of the Section E page. Please complete Section E online, then print Section E using the link on the Overview page. Section E should be signed by the senior manager of your facility and faxed it to the Performance Track Information Center at (617) 354-0463.

On behalf of Rockwell Collins, Inc. - Decorah Operations, I certify that:

- I have read and agree to the terms and conditions as specified in the National Environmental Performance Track Program Guide. This facility, to the best of my knowledge, continues to meet all program criteria;
- I have personally examined and am familiar with the information contained in this Annual Performance Report. The information contained in this report is, to the best of my knowledge and based on reasonable inquiry, true, accurate, and complete;
- My facility has an environmental management system (EMS), as defined in the Performance Track EMS criteria, including systems to maintain compliance with all applicable federal, state, tribal, and local environmental requirements, in place at the facility, and the EMS will be maintained for the duration of the facility's participation in the program;
- My facility has conducted an objective assessment of its compliance with all applicable federal, state, tribal, and local environmental requirements; and the facility has corrected all identified instances of potential or actual noncompliance; and
- Based on the foregoing compliance assessments and subsequent corrective actions (if any were necessary), my facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with applicable federal, state, tribal, and local environmental requirements.

I agree that EPA's decision whether to accept participants into or remove them from the National Environment Performance Track is wholly discretionary, and I waive any right that may exist under any law to challenge EPA's acceptance or removal decision. I am the senior manager with responsibility for the facility and am fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is part of the National Environmental Performance Track program.

**Signature/Date:**

**Name:**

Mr. Gordon Davis

**Title:**

Plant Manager

**Facility Name:**

Rockwell Collins, Inc. - Decorah Operations

**Facility Street Address:**

2464 River Road  
Decorah, IA 52101

**Mailing Address:**

**Performance**  
**Track ID#:**

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